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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Stephen J. TODD and Michael KILIAN

Serial No:

10/762,036

Confirmation. No.:

3938

Filed:

January 21, 2004

For:

METHODS AND APPARATUS FOR INDIRECTLY IDENTIFYING A

RETENTION PERIOD FOR DATA IN A STORAGE SYSTEM

Examiner:

Unassigned

Art Unit:

2171

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Signature

Mail Stop Missing Parts Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT

Prior to examination, please amend the above-identified application as follows: